

Receipt

P1007 (12832RRUS01)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rambabu Tummala et al.

Serial No.: 09/677,274

Filed: October 2, 2000

For: AAA Broker Specification and Protocol

Group Art Unit: 2152

Examiner:

Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
MAY 16 2001
TC 2100 MAILROOM

Notice of Filing Receipt Error

Applicant hereby notifies the Office of Initial Patent Examination's Customer Service Center of the following errors to filing receipt dated October 2, 2000.

1. Missing words in Title:

Incorrect Title: AAA broker and specification

Correct Title: AAA Broker Specification and Protocol

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231.

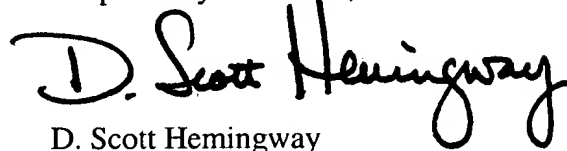
Date:

1/25/01

D. Scott Hemingway

No fee is deemed necessary for this filing, but if one is required, please
withdraw the appropriate amount from the D. Scott Hemingway Deposit Account No.
501270.

Respectfully submitted,

A handwritten signature in black ink that reads "D. Scott Hemingway". The signature is fluid and cursive, with the first name "D." being small and the last name "Hemingway" being larger and more prominent.

D. Scott Hemingway
Reg. No. 36,366
ATTORNEY FOR APPLICANT

800 Preston Common West
8117 Preston Road
Dallas, TX 75225
(214) 750-3362
(214) 696-5971 (fax)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/677,274	10/02/2000	2152	1106	P1007 (12832RRUS01)	7	42	3

D. SCOTT HEMINGWAY
PRESTON COMMONS WEST
SUITE 800
8117 PRESTON ROAD
DALLAS, TX 75225



FILING RECEIPT



OC000000005599272

Date Mailed: 12/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rambabu Tummala, Plano, TX ;
David J. Mitton, North Andover, MA ;
Haseeb Akhtar, Garland, TX ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/27/2000

Title

AAA broker and specification

AAA BROKER SPECIFICATION AND PROTOCOL

Preliminary Class

709

RECEIVED
MAY 16 2001
TC 2100 MAILROOM

Data entry by : BEGUM, SHAMIMA

Team : OIPE

Date: 12/06/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

RECEIVED
MAY 16 2001
02100 MAILROOM

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4266

SERIAL NUMBER 09/677,274	FILING DATE 10/02/2000 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. P1007
APPLICANTS Rambabu Tummala, Plano, TX; David J. Mitton, North Andover, MA; Haseeb Akhtar, Garland, TX;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/27/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		STATE OR COUNTRY TX	SHEETS DRAWING 7	TOTAL CLAIMS 42
Verified and Acknowledged _____ Examiner's Signature Initials		INDEPENDENT CLAIMS 3		
ADDRESS D. SCOTT HEMINGWAY Law Office of D. Scott Hermingway SUITE 800 8117 PRESTON ROAD DALLAS ,TX 75225				
TITLE AAA broker specification and protocol				
FILING FEE RECEIVED 1236	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	